



Docket No.

DEC 0 5 2001

12118US0PCT/In

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shuichi SAKANOE, et al.

SERIAL NO: 09/926,106

FILED: September 4, 2001

FOR: REFRIGERATING MACHINE OIL COMPOSITION

GAU: 1764

EXAMINER:

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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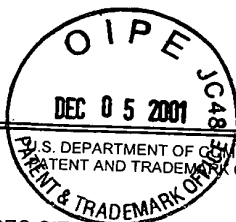


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Form PTO 1449
(Modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

212118US0PCT

SERIAL NO.

09/926,106

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Shuichi SAKANOU, et al.

FILING DATE

September 4, 2001

GROUP

1764

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,858,266	01/12/99	M. KANEKO			
	AB	5,464,550	11/07/95	U. SASAKI, et al.			
	AC	5,792,383	08/11/98	J. L. REYES-GAVILAN, et al.			
	AD	5,021,179	06/04/91	E. R. ZEHLER, et al.			
	AE	5,310,492	05/10/94	H. SEIKI, et al.			
	AF	5,403,503	04/04/95	H. SEIKI, et al.			
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
	AO	785 247	07/23/97	EUROPE (submitting corr. US 5,858,266 only)	
	AP	557 104	08/25/93	EUROPE (submitting corr. US 5,464,550 only)	
	AQ	9-25492	01/28/97	JAPAN (with English Abstract)	
	AR	WO 96/07721	03/14/96	WIPO (submitting corr. US 5,792,383 only)	X
	AS	WO 92/01030	01/23/92	WIPO (submitting corr. US 5,021,179 only)	
	AT	507 158	10/07/92	EUROPE (submitting corr. US 5,310,492 only)	
	AU	461 262	12/18/91	EUROPE (submitting corr. US 5,403,503 only)	
	AV	60-173097	09/06/85	JAPAN (with English Abstract)	X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.